Disadvantaged Business Enterprise for Transit Subrecipients

November 16 & 30, 2023

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THIS MEETING IS BEING RECORED

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AGENDA

- USDOT DBE Program Overview
- NDOT DBE Program
- NDOT Transit DBE Goals
- Transit DBE Contracting Opportunities
- Subrecipient responsibilities

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USDOT DBE PROGRAM OVERVIEW

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USDOT DBE PROGRAM OVERVIEW

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NDOT DBE PROGRAM OVERVIEW

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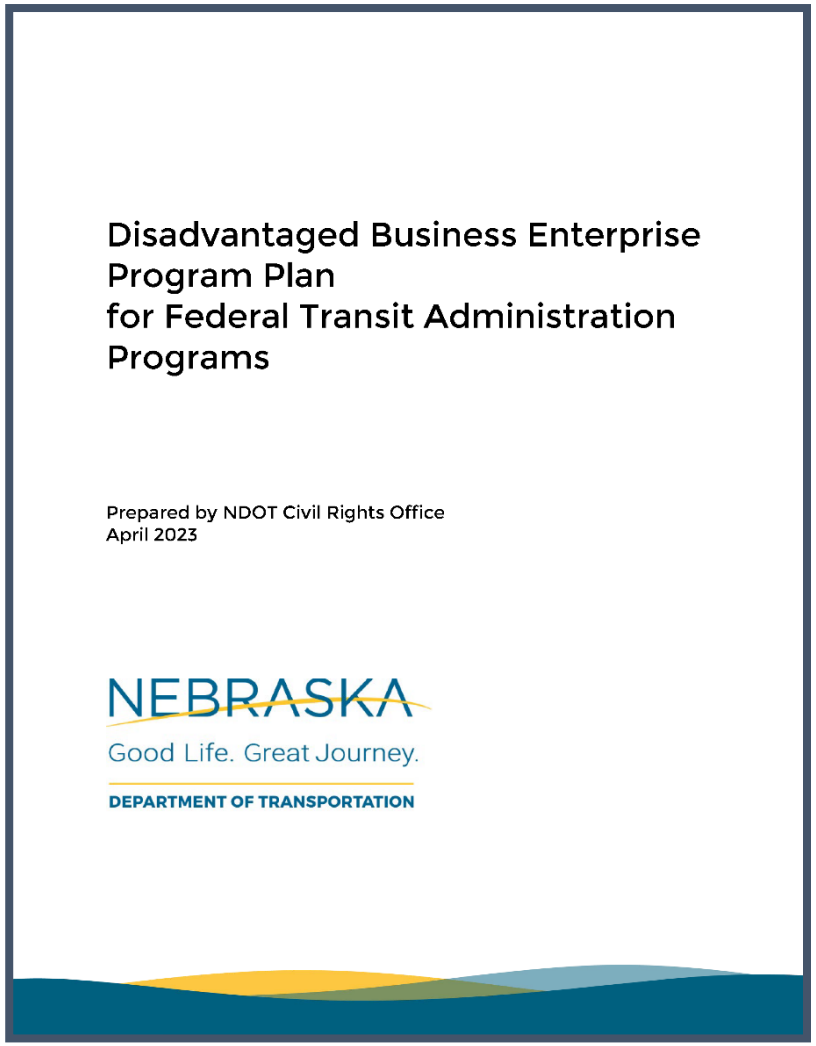
DBE APPLICABILITY

- NDOT's FTA DBE Program Plan
- DBE requirements apply to all subrecipients
  - 3rd party contracts for goods & services
  - Non-vehicle capital projects
  - Professional service contracts
- Vehicle purchases excluded



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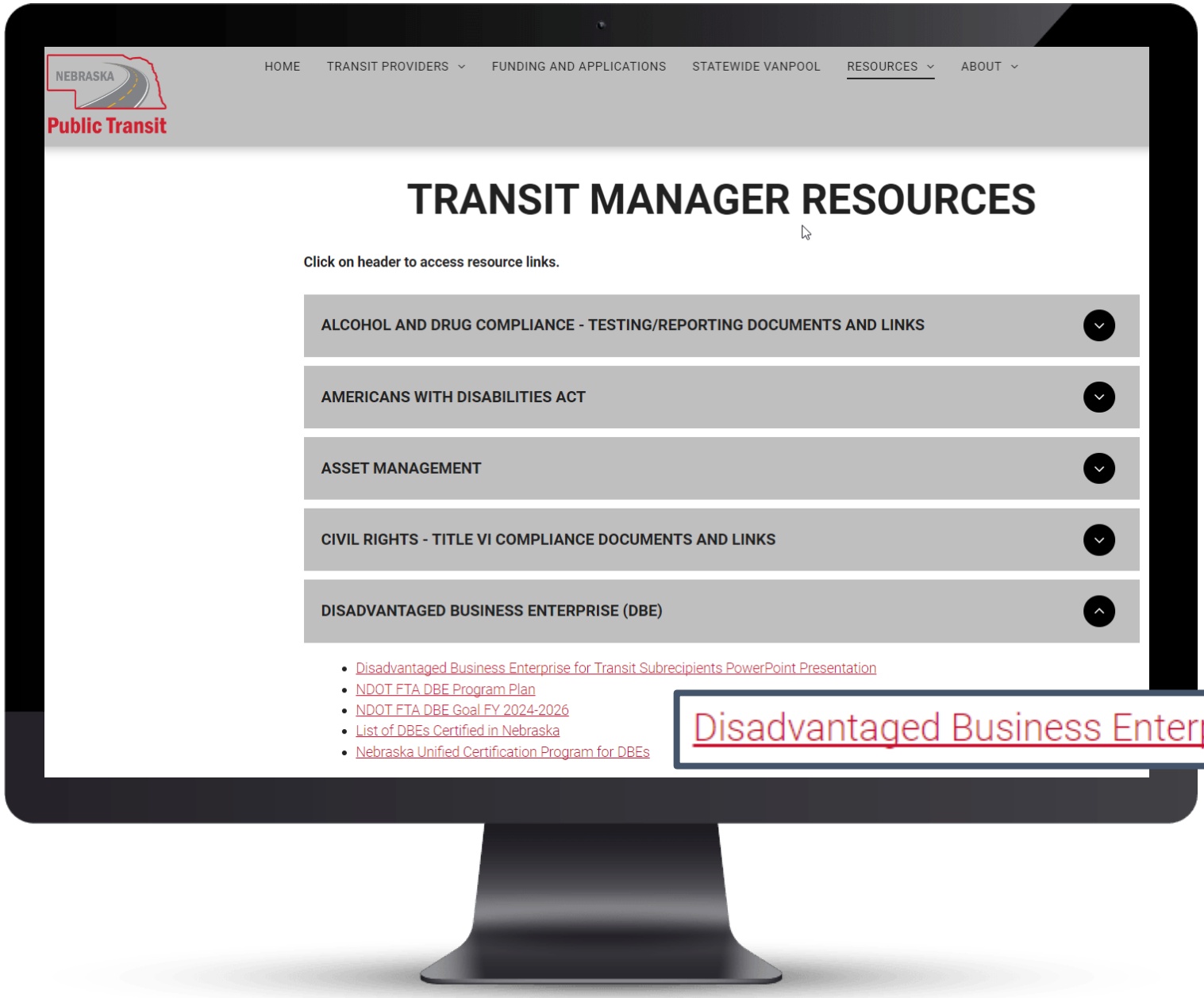

Disadvantaged Business Enterprise (DBE) Plan for FTA Programs

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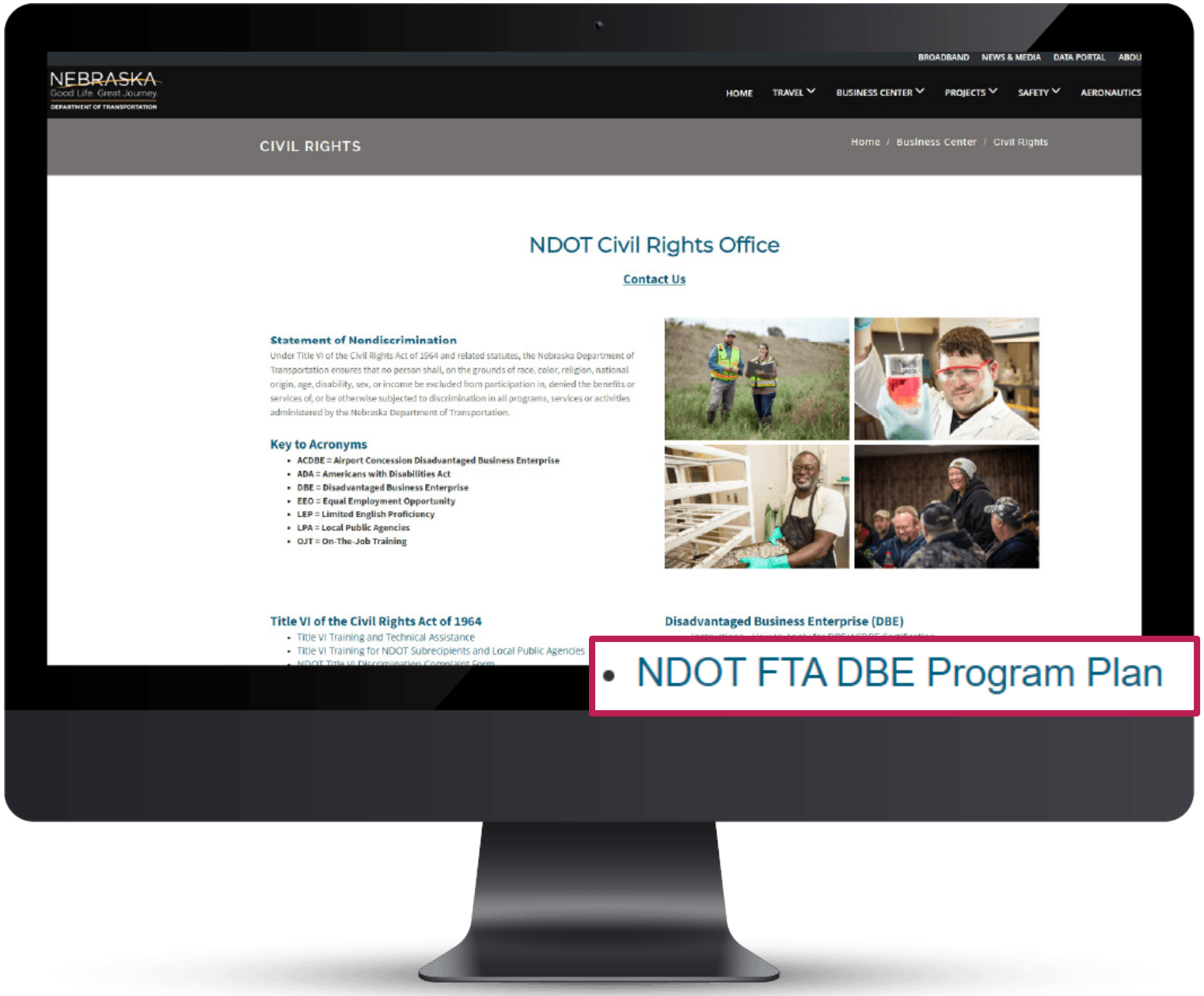

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NDOT FTA DBE PROGRAM PLAN

- Ensures non-discrimination in the award and administration of USDOT-assisted contracts
- Ensures DBE firms can compete fairly for federally funded transportation-related projects
- Identifies and removes barriers to the bidding, award, and administration of U.S. DOT Federally-assisted contracts
- Increases the participation of all small business and DBEs in the procurement of materials, equipment and supplies

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DBE LIAISON OFFICER (DBELO)

Kimberly Baker
Diversity, Equity, and Inclusion Manager

DBELO Duties:

- Gather and report statistical data
- Encourage DBE and small business participation
- Provide Information to DBE's and small businesses about contracting opportunities
- Provide resources to help achieve success

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SUPPORTIVE SERVICES

NDOT has a free program to assist DBEs:

- Broaden business knowledge
- Strengthen their financial literacy
- Develop skills and abilities in the areas of business and construction management
- Create a business plan
- Program includes instructional sessions as well as one-on-one consulting

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NEBRASKA UNIFORM CERTIFICATION PROGRAM

- Be a for-profit business performing transportation-related work for a recipient of FHWA, FTA, or FAA funds
- Be at least 51% owned and controlled by socially and economically disadvantaged individual(s)
- Disadvantaged owners must be U.S. citizens or permanent residents
- Meet Small Business Administration (SBA) size standards and do not exceed $23.98M in gross annual receipts for DBEs being certified for FHWA- or FTA-related work

For more information or to apply, visit **NDOT's Civil Rights webpage.**

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NDOT TRANSIT DBE GOALS

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DBE GOALS

- These goals help NDOT to achieve its mission of promoting a safe, reliable, affordable, and efficient transportation system while also **supporting the growth and development** of DBEs

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DBE GOAL–SETTING PROCESS

- NDOT's DBE program establishes annual DBE participation goals in collaboration with FTA
  - Goals are established based on multiple factors, including the availability of DBEs to perform work in specific industries and locations as well as past participation by DBEs
  - Each year, NDOT reviews the triennial goal to evaluate progress toward achieving it

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FTA DBE GOAL FY2024-2026

NDOT's proposed FTA goal for fiscal years 2024-2026 goal is **0.57%**

- FTA formula funds estimated to be administered by NDOT during FY 2024-2026 is approximately **$25 million**
- This translates to a goal of approximately **$150,000** in work for DBE firms on a triennial basis

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DBE PARTICIPATION

- ***Race-neutral means*** – encourage participation of all small businesses, not limited to DBEs
- ***Race-conscious means*** – setting goals for DBE participation on individual contracts

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TRANSIT DBE CONTRACTING OPPORTUNITIES

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DBE CONTRACTING OPPORTUNITIES

For transit

- Goods and services related to transit operations
- Professional services
- Building construction/remodeling

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NAICS	Meaning of Code
424120	Stationery and office supplies merchant wholesalers
524210	Insurance agencies and brokerages
541611	Administrative management and general management consulting services
541612	Human resources consulting services
541613	Marketing consulting services
541618	Other management consulting services
541620	Environmental consulting services
811111	General automotive repair
485991	Special needs transportation
485999	All other transit and ground passenger transportation
518210	Data processing, hosting, and related services
541211	Offices of certified public accountants
541214	Payroll services
541511	Custom computer programming services
541512	Computer systems design services
561720	Janitorial services

NAICS – North American Industry Classification System

SUBRECIPIENT RESPONSIBILITIES

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WHAT DOES THIS MEAN FOR YOU?

- NDOT's list of certified DBEs

www.dot.Nebraska.gov/business-center/civil-rights/

Disadvantaged Business Enterprise (DBE)

- Instructions - How to Apply for DBE/ACDBE Certification
- Application for DBE/ACDBE Certification
- Personal Financial Statement
- Affidavit for Interstate Certification - PDF
- DBE Annual Affidavit of No Change - PDF
- Work/NAICS Code Change Request Form
- Nature of Business Form - Word | PDF

- List of DBEs Certified in Nebraska (Listed by Work Types)
- List of DBEs Certified in Nebraska (Listed Alphabetically)
- NDOT Lettings List

- Form NDOT-136b "Commercially Useful Function Review – Field Form" - PDF
- Form NDOT-441 "Identification of DBE Goal Achievement" - PDF
- Form NDOT-442 "Identification of DBE Work Performed" - PDF

- NDOT FHWA DBE Goal Setting Methodology FY 2024–2026
- NDOT FTA DBE Goal Setting Methodology FY 2024–2026
- NDOT FHWA & FAA DBE Program Plan
- NDOT FTA DBE Program Plan
- Nebraska Unified Certification Program for DBEs
- 49 CFR 26 - Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs
- DBE Business Development Program

WHAT DOES THIS MEAN FOR YOU?

- Encourage participation

Disadvantaged Business Enterprise (DBE)

- Instructions - How to Apply for DBE/ACDBE Certification
- Application for DBE/ACDBE Certification
- Personal Financial Statement
- Affidavit for Interstate Certification - PDF
- DBE Annual Affidavit of No Change - PDF
- Work/NAICS Code Change Request Form
- Nature of Business Form - Word | PDF

- List of DBEs Certified in Nebraska (Listed by Work Types)
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- NDOT FHWA DBE Goal Setting Methodology FY 2024–2026
- NDOT FTA DBE Goal Setting Methodology FY 2024–2026
- NDOT FHWA & FAA DBE Program Plan
- NDOT FTA DBE Program Plan
- Nebraska Unified Certification Program for DBEs
- 49 CFR 26 - Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs
- DBE Business Development Program

WHAT DOES THIS MEAN FOR YOU?

REPORTING

If the vendor is an NDOT-certified Disadvantaged Business Enterprise (DBE), please select their entry from the following list. Otherwise, type the full name of the vendor in the text box.

A PLUS CONTRACTING, LLC	SUTTON, NE
ABSOLUTE STAFFING & CONSULTING SOLUTIONS, LLC	WESTMINSTER, MD
AC DISASTER CONSULTING, LLC	ARLINGTON, TX
AGUIRRE PROJECT RESOURCES,. LLC	GRAND PRAIRIE, TX
ASSOCIATED ENGINEERING, INC.	ELKHORN, NE
BROWNCO MANUFACTURING AND SALES, LLC	TULSA, OK
BUSINESS SEALS CONSULTING FIRM, LLC	LA VISTA, NE
CARDOSI KIPER DESIGN GROUP, INC.	CHICAGO, IL
CARLO LACHMANSINGH SALES, INC.	MINNEAPOLIS, MN
CATCO BUSINESS CONSULTING, LLC (DBA COFFEE SHACK)	TALLAHASSEE, FL

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DEMONSTRATION

See Demonstration in the presentation video under Disadvantaged Business Enterprise on www.nebraskatransit.com/transit-manager-resources

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CONTRACTS

Additional requirements

- Contracting
  - Federal contract clause requirements
- Monitoring
  - Prompt payment
  - Commercially useful function
- Reporting

NDOT On-call consultants to assist you

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MONITORING & COMPLIANCE

- Understand the DBE program so you can implement it
- Encourage DBE participation in your communities
- Enter DBE information with your invoices

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QUESTIONS?

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